| Form PTO-1449 (modified) | | Atty. Docket No.: Serial No.: | | | | | | |
|--------------------------|--------------------|--|-------------------------------|--|------------------------------|-------------------------|---------------------|--|
| List of P | atents an | d Publications for Ap | inlicant's | SONN:084US 10/564,429 Applicant: | | | | |
| 23.51 01 1 | aconto un | a rabileations for re- | piicaint s | Michael Buschle et al. | | | | |
| INF | ORMATIC | N DISCLOSURE STAT | TEMENT | | | | | |
| | /II | | | Filing Date: | Gro | oup: | ··· | |
| | | everal sheets if necessary) | | April 24, 2006 | 164 | | | |
| U. | S. Patent See P | Documents | - | Patent Documents Other Art | | | | |
| | See F | age I | <u> </u> | ee Page 1 | | See Page | 1-3 | |
| | | U | .S. Pate | nt Documents | | | | |
| Exam. Init. | Ref. Des. | Document Number | Date | Name | Class | Sub Class | Filing Date of App. | |
| | | | | | | | | |
| | · | Foi | eign Pa | tent Document | S | - | | |
| Exam. Init. | Ref. Des. | Document Number | Date | Country | | Langua | age | |
| | | | | | | | | |
| | Other | Art (Including | Author, | Title, Date Per | tinent Pa | ages, l | Etc.) | |
| Exam. Init. | Ref. Des. | | Citation | | | | | |
| /ZL/ | C90 | Aichinger et al., "Ma and degradation of e | ijor histocom ndogenous pr | patibility complex class oteins," <i>J. Biol. Chem.</i> , 2 | II-dependent 272:29127-29 | unfolding. 136, 1997 | , transport, '. | |
| | C91 | | munodomina | eles, epitope binding affi ance of virus-specific CT | | | | |
| | C92 | | ogenicity with | polymorphism and CTL a stability of the B27:pe | | | | |
| | C93 | Field, "Human cyton Antiviral Chem. Che | | challenges, opportunities 0:219-232, 1999. | and new dru | g developi | nent," | |
| | C94 | | | ngenital cytomegaloviru. d., 326:663-673, 1992. | s infection in | relation to | maternal | |
| | C95 | mononuclear cell stir | nulation follo | pecific T lymphocytes a wed by CD25 selection. p65 epitope determinati | I. Applicatio | n to CD4(| +) or CD8(+) | |
| /ZL/ | C96 | | | recombinant proteins al pes," J. Immunol., 151:3 | | | ification of | |
| 25775698.1 | ı | | | | | | | |
| Exami | | /Zachariah Luca | s/ | DATE CONSIDE | RED: 1 | 1/02/200 |)7 | |
| EXAMINE | R: INITIAL II | REFERENCE CONSIDERED, V | HETHER OR NOT | CITATION IS IN CONFORMANC | е with MPEP60 | 9; DRAW LIN | E THROUGH | |

CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

| Form PTO-1449 (modified) | | Atty. Docket No.: SONN:084US | Serial No.: 10/564,429 |
|--|----------|-----------------------------------|---------------------------|
| List of Patents and Publications for Applicant's | | Applicant: Michael Buschle et al. | |
| Information Disclosure S | TATEMENT | | |
| (Use several sheets if necessa | ry) | Filing Date: April 24, 2006 | Group: 1648 |
| U.S. Patent Documents | Foreign | Patent Documents | Other Art |
| See Page 1 | | See Page 1 | See Page 1-3 |

| Other Art (Including | Author, Title, | Date Pertinent Pages, | Etc.) |
|----------------------|----------------|-----------------------|-------|
|----------------------|----------------|-----------------------|-------|

| Exam. Init. | Ref. Des. | Citation |
|----------------|--------------|---|
| /ZL/ | C97 | Gorga et al., "Purification and characterization of class II histocompatibility antigens from a homozygous human B cell line," J. Biol. Chem., 262:16087-16094, 1987. |
| | C98 | Greenberg and Riddell, "Deficient Cellular Immunity- Finding and Fixing the Defects," Science, 285:546-551, 1999. |
| | C99 | Khattab et al., "Three T-cell epitopes within the C-terminal 265 amino acids of the matrix protein pp65 of human cytomegalovirus recognized by human lymphocytes," J. Med. Virol., 52:68-76, 1997. |
| | C100 | Kronenberg et al., "Conserved lipid and peptide presentation functions of nonclassical class I molecules," <i>Immunol. Today</i> , 20:515-521, 1999. |
| | C101 | Kuzushima et al., "Efficient Identification of HLA-A*2402-restricted cytomegalovirus-specific CD8+ T-cell epitopes by a computer algorithm and an enzyme-linked immunospot assay," Blood, 98:1872-1880, 2001. |
| | C102 | Lalvani et al., "Rapid effector function in CD8+ memory T cells," J. Exp. Med., 186:859-865, 1997. |
| | C103 | Lamas et al., "Relationship between peptide binding and T cell epitope selection: a study with subtypes of HLA-B27," Int. Immunol., 10:259-266, 1998. |
| | C104 | Levitsky et al., "Supermotif Peptide Binding and Degeneracy of MHC: Peptide Recognition in an EBV Peptide-Specific CTL Response with Highly Restricted TCR Usage," Human Immunol., 61:972-984, 2000. |
| | C105 | Masuoka et al., "Identification of the HLA-A24 peptide epitope within cytomegalovirus protein pp65 recognized by CMV-specific cytotoxic T lymphocytes," Viral Immunology, 14:369-377, 2001. |
| | C106 | McLaughlin-Taylor et al., "Identification of the major late human cytomegalovirus matrix protein pp65 as a target antigen for CD8+ virus-specific cytotoxic T lymphocytes," J. Med. Virol., 43:103-110, 1994. |
| | C107 | Nichols and Boeckh, "Recent advances in the therapy and prevention of CMV infections," J. Clin. Virol., 16:25-40, 2000. |
| /ZL | C108 | Nijman et al., "Identification of peptide sequences that potentially trigger HLA-A2.1-restricted cytotoxic T lymphocytes," Eur. J. Immunol., 6:1215-1219, 1993. |

25775698.1

EXAMINER: /Zachariah Lucas/ DATE CONSIDERED: 11/02/2007

| Form PTO-1449 (modified) | | Atty. Docket No.: | Serial No.: |
|--------------------------------------|-------------|------------------------|--------------|
| | | SONN:084US | 10/564,429 |
| List of Patents and Publications for | Applicant's | Applicant: | |
| | | Michael Buschle et al. | |
| Information Disclosure St | TATEMENT | | |
| | | Filing Date: | Group: |
| (Use several sheets if necessar | ту) | April 24, 2006 | 1648 |
| U.S. Patent Documents | Foreign 1 | Patent Documents | Other Art |
| See Page 1 | | See Page 1 | See Page 1-3 |

| Exam. Init. | Ref. Des. | Citation | | | | | |
|----------------|--------------|---|--|--|--|--|--|
| /ZL/ C109 | | Retriere <i>et al.</i> , "Generation of cytomegalovirus-specific human T-lymphocyte clones by using autologous B-lymphoblastoid cells with stable expression of pp65 or IE1 proteins: a tool to study the fine specificity of the antiviral response," <i>J. Virol.</i> , 74:3948-3952, 2000. | | | | | |
| | C110 | Saulquin et al., "A global appraisal of immunodominant CD8 T cell responses to Epstein-Barr virus and cytomegalovirus by bulk screening," Eur. J. Immunol., 30:2531-2539, 2000. | | | | | |
| | C111 | Sia and Patel, "New strategies for prevention and therapy of cytomegalovirus infection and disease in solid-organ transplant recipients," Clin. Microbiol. Rev., 13:83-121, 2000. | | | | | |
| | C112 | Solache et al., "Identification of three HLA-A*0201-restricted cytotoxic T cell epitopes in the cytomegalovirus protein pp65 that are conserved between eight strains of the virus," J. Immunol., 163:5512-5518, 1999. | | | | | |
| | C113 | Tynan et al., "The immunogenicity of a viral cytotoxic T cell epitope is controlled by its MHC-bound conformation," J. Exp. Med., 202:1249-1260, 2005. | | | | | |
| | C114 | Udaka et al., "Decrypting the structure of major histocompatibility complex class I-restricted cytotoxic T lymphocyte epitopes with complex peptide libraries," J. Exp. Med., 181:2097-2108, 1995. | | | | | |
| | C115 | Valli et al., "Binding of myelin basic protein peptides to human histocompatibility leukocyte antigen class II molecules and their recognition by T cells from multiple sclerosis patients," J. Clin. Invest., 91:616-628, 1993. | | | | | |
| /ZL/ | C116 | Von Son et al., "Overcoming the problem of cytomegalovirus infection after organ transplantation: calling for Heracles?," Intervirology, 42:285-290, 1999. | | | | | |

25775698.1

EXAMINER: /Zachariah Lucas/ DATE CONSIDERED: 11/02/2007

| Form PTO-1449 (modified) | | Atty. Docket No. Serial No. SONN:084US 10/564,429 | | |
|--------------------------------------|-----------------|---|----------------|--|
| List of Patents and Publications for | Applicant's | Applicant Michael Buschle et al. | | |
| Information Disclosure St | FATEMENT | | · | |
| (Use several sheets if necessar | ry) | Filing Date: April 24, 2006 | Group: 1648 | |
| U.S. Patent Documents | Foreign P | atent Documents | Other Art | |
| See Page 1 Se | | e Page 1-3 | See Page 3-10 | |

U.S. Patent Documents

| | am. nit. | Ref. Des. | Document Number | Date | Name | Class | Sub Class | Filing Date of App. |
|-------------------------|-------------|--------------|--------------------|----------|-------------------|-------|--------------|---------------------|
| 1 | /ZL/ | Al | 2003/0162738 | 08/28/03 | Egyed et al. | 514 | 44 | 12/06/02 |
| | | A2 | 5,663,153 | 09/02/97 | Hutcherson et al. | 514 | 44 | 06/06/95 |
| T | | A3 | 5,683,864 | 11/04/97 | Houghton et al. | 435 | 5 | 07/07/92 |
| | | A4 | 5,723,335 | 03/03/98 | Hutcherson et al. | 435 | 375 | 09/11/96 |
| 1 | | A5 | 6,037,135 | 03/14/00 | Kubo et al. | 435 | 7.24 | 11/29/93 |
| \mathbf{J} | , | A6 | 6,150,087 | 11/21/00 | Chien | 435 | 5 | 05/18/95 |
| $\overline{\mathbf{A}}$ | /ZL/ | A7 | 6,413,517 | 07/02/02 | Sette et al. | 424 | 185.1 | 01/21/98 |

Foreign Patent Documents

| Exa Ini | | Document Number | Date | Country | Language |
|-----------------|--------|--------------------|----------|---------|-----------|
| /Z | L/ B1 | A 1973/2000 | 11/23/00 | Austria | English |
| | B2 | A 805/2001 | 05/21/00 | Austria | English |
| | В3 | EP 0 468 520 | 01/29/92 | Europe | English |
| | B4 | WO 00/11186 | 03/02/00 | WIPO · | English |
| | B5 | WO 00/23105 | 04/27/00 | WIPO | English |
| | B6 | WO 00/31542 | 06/02/00 | WIPO | English |
| | B7 | WO 00/44775 | 08/03/00 | WIPO | . English |
| | B8 | WO 01/17551 | 03/15/01 | WIPO | English |
| | B9 | WO 01/21189 | 03/29/01 | WIPO | English |
| | B10 | WO 01/24822 | 04/12/01 | WIPO · | English |
| | B11 | WO 01/54719 | 08/02/01 | WIPO | English |
| V | B12 | WO 01/72782 | 10/04/01 | WIPO | English |
| W _{/Z} | L/ B13 | WO 01/78767 | 10/25/01 | WIPO | English |

25715150.1

EXAMINER: /Zachariah Lucas/ DATE CONSIDERED: 11/02/2007

| Form PTO-1449 (modified) | | Atty. Docket No. | Serial No. |
|--------------------------------------|-------------|------------------------|---------------|
| | | SONN:084US | 10/564,429 |
| List of Patents and Publications for | Applicant's | Applicant | |
| | | Michael Buschle et al. | |
| Information Disclosure St | ATEMENT | | |
| | | Filing Date: | Group: |
| (Use several sheets if necessar | y) | April 24, 2006 | 1648 |
| U.S. Patent Documents | Foreign F | Patent Documents | Other Art |
| See Page 1 | | e Page 1-3 | See Page 3-10 |

Foreign Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | - Country | Language |
|----------------|--------------|--------------------|----------|-----------|---------------------------|
| /ZL/ | B14 | WO 01/93903 | 12/13/01 | WIPO | English |
| | B15 | WO 01/93905 | 12/13/01 | WIPO | English |
| | B16 | WO 02/053185 | 07/11/02 | WIPO | English |
| | B17 | WO 02/095027 | 11/28/02 | WIPO | English |
| | B18 | WO 02/13857 | 02/21/02 | WIPO | English |
| | B19 | WO 02/32451 | 04/25/02 | WIPO | English |
| | B20 | WO 02/33127 | 04/25/02 | WIPO . | English |
| | B21 | WO 03/047602 | 06/12/03 | WIPO | English |
| | B22 | WO 03/073097 | 09/04/03 | WIPO | English |
| | B23 | WO 2004/014936 | 02/19/04 | WIPO | French (English Abstract) |
| | B24 | WO 2004/024182 | 03/25/04 | WIPO | English |
| | B25 | WO 92/03458 | 03/05/92 | WIPO | English |
| | B26 | WO 93/00365 | 01/07/93 | WIPO | English |
| | B27 | WO 94/20127 | 09/15/94 | WIPO | English |
| | B28 | WO 94/25601 | 11/10/94 | WIPO | English |
| | B29 | WO 95/12766 | 05/11/95 | WIPO | English |
| | B30 | WO 95/22317 | 08/24/95 | WIPO | English |
| | B31 | WO 95/25122 | 09/21/95 | WIPO | English |
| | B32 | WO 95/27733 | 10/19/95 | WIPO | English |
| | B33 | WO 95/27901 | 10/19/95 | WIPO | English |
| | B34 | WO 96/02555 | 02/01/96 | WIPO | English |
| 1 | B35 | WO 97/30721 | 08/28/97 | WIPO | German (English Abstract) |
| /ZL/ | B36 | WO 98/15287 | 04/16/98 | WIPO | English |

25715150.1

Examiner: /Zachariah Lucas/ DATE Considered: 11/02/2007

| Form PTO-1449 (modified) | | Atty. Docket No. Serial No. SONN:084US 10/564,429 | | |
|--|-----------|---|----------------|--|
| List of Patents and Publications for Applicant's | | Applicant | | |
| | | Michael Buschle et al. | | |
| Information Disclosure St | ATEMENT | | · | |
| (Use several sheets if necessar | у) | Filing Date: April 24, 2006 | Group: 1648 | |
| U.S. Patent Documents | Foreign F | Patent Documents | Other Art | |
| See Page 1 See | | e Page 1-3 | See Page 3-10 | |

Foreign Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | Country | Language |
|-------------------|--------------|--------------------|----------|---------|---------------------------|
| ı /ZL/ | B37 | WO 98/16247 | 04/23/98 | WIPO | English |
| | В38 | WO 98/18810 | 05/07/98 | WIPO | English |
| | B39 | WO 98/37919 | 09/03/98 | WIPO | English |
| | B40 | WO 98/40100 | 09/17/98 | WIPO . | English |
| | B41 | WO 98/52581 | 11/26/98 | WIPO · | English |
| | B42 | WO 98/52962 | 11/26/98 | WIPO | English |
| | B43 | WO 99/15259 | 04/01/99 | WIPO | English |
| | B44 | WO 99/33488 | 06/08/99 | WIPO . | English |
| | B45 | WO 99/38528 | 08/05/99 | WIPO | German (English Abstract) |
| | B46 | WO 99/51259 | 10/14/99 | WIPO | English |
| 1, | B47 | WO 99/56755 | 11/11/99 | WIPO | English |
| W _{/ZL/} | B48 | WO 99/63941 | 12/16/99 | WIPO | English |

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam. Init. | Ref. Des. | Citation |
|----------------|--------------|---|
| | еі | "Aluminiumhydroxide," Röempp, 10 th Ed., pp. 139-140, 2006 (in German). |
| /ZL/ | C2 | Anthony et al., "Comprehensive determinant mapping of the hepatitis C-specific CD8 cell repertoire reveals unpredicted immune hierarchy," Clinical Immunology, 103(3):264-276, 2002. |
| | C3 | Battegay et al., "Patients with chronic hepatitis C have circulating cytotoxic T cells which recognize hepatitis C virus-encoded peptides binding to HLA-A2.1 molecules," J. Virol., 69(4):2462-2470, 1995. |
| | C4 | Bellentani et al., "Epidemiology of hepatitis C virus infection in Italy: the slowly unraveling mystery," Microbes Infect., 2(14):1757-63, 2000. |
| / /ZL/ | C5 | Bitmansour et al., "Clonotypic structure of the human CD4+ memory T cell response to cytomegalovirus," J Immunol, 167:1151-1163, 2001. |

25715150.1

EXAMINER: /Zachariah Lucas/ DATE CONSIDERED: 11/02/2007

| Form PTO-1449 (modified) | | Atty. Docket No. SONN:084US | Serial No. 10/564,429 |
|--------------------------------------|-------------|--------------------------------|--------------------------|
| List of Patents and Publications for | Applicant's | Applicant | |
| | | Michael Buschle et al. | |
| Information Disclosure Statement | | • | |
| (Use several sheets if necessar | у) | Filing Date: April 24, 2006 | Group: 1648 |
| U.S. Patent Documents | Foreign P | atent Documents | Other Art |
| See Page 1 Se | | Page 1-3 | See Page 3-10 |

| Exam. Init. | Ref. Des. | Citation | | | | |
|-----------------|--------------|---|--|--|--|--|
| /ZL/ | | Blake et al., "Use of combinatorial peptide libraries to construct functional mimics of tumor epitopes recognized by MHC class I-restricted cytolytic T lymphocytes," J. Exp. Med., 184:121-130, 1996. | | | | |
| | C7 | Britt and Alford, "Cytomegalovirus," In: Fields Virology by Fields et al. eds., Livincott-Raven, 2493-2523 1999. | | | | |
| | C8 | Bullock et al. "Initiation codon scanthrough verses termination codon readthrough demonstrates strong potential for MHC class I restricted cryptic epitope expression," Journal of Experimental Medicine, 186:1051-1058, 1997. | | | | |
| | C9 | Chang et al., "Identification of HLA-A3 and -B7-restricted CTL response to hepatitis C virus in patients with acute and chronic hepatitis C," J. Immunol., 162:1156-1164, 1999. | | | | |
| | C10 | Chen et al., "A novel influenza A virus mitochondrial protein that induces cell death,: Nature Medicine, 7:1306-1312, 2001. | | | | |
| | Cll | Chen et al., "Efficient class II major histocompatibility complex presentation of endogenously synthesized hepatitis C virus core protein by Epstein-Bar virus-transformed B-lymphoblastoid cell lines to CD4 ⁺ T cells," <i>Journal of Virology</i> , 72(10):8301-8308, 1998. | | | | |
| | C12 | Cho et al., "Activation of human neutrophils by a synthetic anti-microbial peptide, KLKLLLLLK-NH2, via cell surface calreticulin," Eur. J. Biochem., 266:878-885, 1999. | | | | |
| | C13 | Cox et al., "Identification of a peptide recognized by five melanoma-specific human cytotoxic t cell lines," Science, 264:716-719, 1994. | | | | |
| | C14 | Di Bisceglie et al., "New therapeutic strategies for hepatitis C," Hepatology, 35:224-231, 2002. | | | | |
| | C15 | Diepolder et al., "Immunodominant CD4+ T-cell epitope within nonstructural protein 3 in acute hepatitis C virus infection," J. Virol., 71(8):6011-6019, 1997. | | | | |
| | C16 | Drew and Lalezari, "Cytomegalovirus: disease syndromes and treatment," Curr Clin Top Infect Dis, 19:16-29, 1999. | | | | |
| | C17 | Duenas-Carrera et al., "Enhancement of the immune response generated against hepatitis C virus envelope proteins after DNA vaccination with polyprotein-encoding plasmids," Biotechnol. Appl. Biochem., 35:205-212, 2002. | | | | |
| $\sqrt{V_{ZL}}$ | C18 | Elliot et al. "Recognition of out-of-frame major histocompatibility complex class I-restricted epitopes in vivo," European Journal of Immunology, 26:1175-1179, 1996. | | | | |

25715150.1

Examiner: /Zachariah Lucas/ DATE Considered: 11/02/2007

| Form PTO-1449 (modified) | | Atty. Docket No. SONN:084US | Serial No. 10/564,429 |
|--------------------------------------|-------------|----------------------------------|--------------------------|
| List of Patents and Publications for | Applicant's | Applicant Michael Buschle et al. | |
| Information Disclosure Statement | | | |
| (Use several sheets if necessar | ·y) | Filing Date: April 24, 2006 | Group: 1648 |
| U.S. Patent Documents | Foreign P | atent Documents | Other Art |
| See Page 1 S | | e Page 1-3 See Page 3-10 | |

| Exam. Init. | Ref. Des. | Citation | | | |
|----------------|--------------|--|--|--|--|
| /ZL/ | C19 | Farci and Purcell, "Clinical significance of hepatitis C virus genotypes and quasispecies," Semin Liver Dis., 20(1):103-26, 2000. | | | |
| | C20 | Fleckenstein et al., "New ligands binding to the human leukocyte antigen class II molecule DRB+0101 based on the activity pattern of an undecappeptide library," European Journal of Biochemistry, 240:71-77, 1996. | | | |
| | C21 | Greten and Schneck, "Development and use of multimeric major histocompatibility complex molecules," Clinical and Diagnostic Laboratory Immunology, 9(2):216-220, 2002. | | | |
| | C22 | Gruener et al., "Sustained dysfunction of antiviral CD8+ T lymphocytes after infection with hepatitis C virus," J. Virol., 75:5550-5558, 2001. | | | |
| | C23 | Hammer et al., "Promiscuous and allel-specific anchors in HLA-DR-binding peptides," Cell, 74:197-203, 1993. | | | |
| | C24 | Heemels and Ploegh, "Generation, translocation and presentation of mhc class I-restricted peptides," <i>Annu Rev Biochem</i> , 64:463-491, 1995. | | | |
| | C25 | Heile et al., "Evaluation of hepatitis C virus glycoprotein E2 for vaccine design: an endoplasmic reticulum-retained recombinant protein is superior to secreted recombinant protein and DNA-based vaccine candidates," J. Virol., 74(15):6885-6892, 2000. | | | |
| | C26 | Hemmer <i>et al.</i> , "Predictable TCR antigen recognition based on peptide scans leads to the identification of agonist ligands with no sequence homology," <i>J. Immunol.</i> , 160:3631-3636, 1998. | | | |
| | C27 | Hemmer et al., "The use of soluble synthetic peptide combinatorial libraries to determine antigen recognition of T cells," J. Peptide Res., 52:338-345, 1998. | | | |
| | C28 | HLA-prevalence studies, In: HLA 1998, (Gjertson and Terasaki, eds.) American Society for Histocompatibility and Immunogenetics, Lenexa, Kansas, pp. 103-263, 1998. | | | |
| | C29 | Hoffmann et al., "Mapping of Immunodominant CD4+ T Lymphocyte Epitopes of Hepatitis C Virus Antigens and Their Relevance During the Course if Chronic Infection," Hepatology, 21(3):632-638, 1995. | | | |
| V /ŻL/ | C30 | Hunziker et al., "In vitro studies of core peptide-bearing immunopotentiating reconstituted influenza virosomes as a non-live prototype vaccine against hepatitis C virus," <i>International Immunology</i> , 14(6):615-626, 2002. | | | |

25715150.1

| Examiner: | /Zachariah Lucas/ | DATE CONSIDERED: | 11/02/2007 |
|-----------|---|------------------|------------|
| | REFERENCE CONSIDERED, WHETHER OR NOT CI ORMANCE AND NOT CONSIDERED. INCLUDE CO | | |

| | | Atty. Docket No. Serial No. SONN:084US 10/564,429 | | |
|--------------------------------------|-----------|---|----------------|--|
| List of Patents and Publications for | • • | Applicant Michael Buschle <i>et al</i> | | |
| Information Disclosure Statement | | | | |
| (Use several sheets if necessa | ry) | Filing Date: April 24, 2006 | Group: 1648 | |
| U.S. Patent Documents | Foreign P | atent Documents | Other Art | |
| See Page 1 Se | | e Page 1-3 See Page 3-10 | | |

| Exam. Init. | Ref. Des. | Citation | | | | |
|-------------------|--------------|---|--|--|--|--|
| /ZL/ C31 | | Ibe et al., "Identification and characterization of a cytotoxic T cell epitope of hepatitis C virus presented by HLA-B*3501 in acute hepatitis," J. Gen. Virol., 79:1735-1744, 1998. | | | | |
| | C32 | Inchauspe and Feinstone, "Development of a hepatitis C virus vaccine," Clinics in Liver Disease, 7:243-259, 2003. | | | | |
| | C33 | Keilholz et al., "Immunologic monitoring of cancer vaccine therapy: results of a workshop sponsored by the Society for Biological Therapy," J Immunother., 25(2):97-138, 2002. | | | | |
| | C34 | Kern et al., "Analysis of cd8 t cell reactivity to cytomegalovirus using protein-spanning pools of overlapping pentadecapeptides," Eur J Immun, 30:1676-1682, 2000. | | | | |
| | C35 | Kern et al., "Target structures of the cd8+-t-cell response to human cytomegalovirus: the 72-kilodalton major immediate-early protein revisited," J Virol, 73:8179-8184, 1999. | | | | |
| | C36 | Klein, Natural History of the MHC, John Wiley and Sons, 1986. | | | | |
| | C37 | Komanduri et al., "Restoration of cytomegalovirus-specific cd4+ t-lymphocyte responses after ganciclovir and highly active antiretroviral therapy in individuals infected with HIV-1," Nat Med, 4:953-956, 1998. | | | | |
| | C38 | Koziel et al., "Hepatitis C virus (HCV)-specific cytotoxic T lymphocytes recognize epitopes in the core and envelope proteins of HCV," J. Virol., 67:7522-7532, 1993. | | | | |
| | C39 | Koziel et al., "HLA class I-restricted cytotoxic T lymphocytes specific for hepatitis C virus. Identification of multiple epitopes and characterization of patterns of cytokine release," J. Clin. Invest., 96:2311-2321, 1995. | | | | |
| | C40 | Kurokohchi et al., "A novel cytotoxic T-cell epitope presented by HLA-A24 molecule in hepatitis C virus infection," J. Hepatology, 34:930-935, 2001. | | | | |
| | C41 | Kwok et al., "Rapid epitope identification from complex class-II-restricted T-cell antigens," Trends in Immunology, 22(11):583-588, 2001. | | | | |
| | C42 | Lamonaca et al., "Conserved Hepatitis C Virus Sequences Are Highly Immunogenic for CD4+ T Cells: Implications for Vaccine Development," <i>Hepatology</i> , 30(4):1088-1098, 1999. | | | | |
| | C43 | Lauer <i>et al.</i> , "Comprehensive analysis of CD8 ⁺ -T-cell responsees against hepatitis C virus reveals multiple unpredicted specificities," <i>Journal of Virology</i> , 76(12):6104-6113, 2002. | | | | |
| V _{/ZL/} | C44 | Lechmann and Liang, "Vaccine development for hepatitis C," Seminars in Liver Disease, 20:211-226, 2000. | | | | |

25715150.1

EXAMINER: /Zachariah Lucas/ DATE CONSIDERED: 11/02/2007

| Form PTO-1449 (modified) | | Atty. Docket No. SONN:084US | Serial No. 10/564,429 | |
|--------------------------------------|-------------|----------------------------------|--------------------------|--|
| List of Patents and Publications for | Applicant's | Applicant Michael Buschle et al. | | |
| INFORMATION DISCLOSURE STATEMENT | | · | | |
| (Use several sheets if necessar | -y) | Filing Date: April 24, 2006 | Group: 1648 | |
| U.S. Patent Documents | Foreign P | atent Documents | Other Art | |
| See Page 1 Se | | e Page 1-3 | See Page 3-10 | |

| Exam. Init. | Ref. Des. | Citation |
|----------------|--------------|--|
| /ZL/ | C45 | Leroux-Roels et al., "Lymphoproliferative Responses to Hepatitis C Virus Coes, E1, E2, and NS3 in Patients With Chronic Hepatitis C Infection Treated With Interferon Alfa," <i>Hepatology</i> , 23(1):8-16, 1996. |
| | C46 | Liang et al., "Pathogeneis, Natural History, Treatment, and Prevention of Hepatitis C," Ann Intern Med., 132(4):296-305, 2000. |
| | C47 | Maecker et al., "Use of overlapping peptide mixtures as antigens for cytokine flow cytometry," J Immunol Methods, 255:27-40, 2001. |
| | C48 | Malarkannan et al., "Presentation of out-of-frame peptide/MHC class I complexes by a novel translation initiation mechanism," <i>Immunity</i> , 10:681-690, 1999. |
| | C49 | Maynard <i>et al.</i> "An alternative translation reading frame encodes an immunodominant retroviral CTL determinant expressed by an immunodeficiency-causing retrovirus," <i>J. of Immunology</i> , 160:39-50, 1998. |
| | C50 | Maynard et al. "Non-traditionally derived CTL epitopes: exceptions that prove the rules?" Immunology Today, 19:551-556, 1998. |
| | C51 | McCluskie et al., "Parenteral and mucosal prime-boost immunization strategies in mice with hepatitis B surface antigen and CpG DNA," Fems Immunol and Medical Microbiol, 32:179-185, 2002. |
| | C52 | Morgan et al., "The influence of exogenous peptide on beta2-microglobulin exchange in the HLA complex: analysis in real time," Immunogenetics, 48:98-107, 1998. |
| | C53 | Nakajima <i>et al.</i> , "Chemotherapeutic activity of synthetic antimicrobial peptides: correlation between chemotherapeutic activity and neutrophil-activating activity," <i>FEBS Lett.</i> , 415:64-66, 1997. |
| | C54 | Novak et al., "Tetramer-guided epitope mapping: rapid identification and characterization of immunodominant CD4 ⁺ T cell eiptopes from complex antigens," <i>The Journal of Immunology</i> , 166:6665-6670, 2001. |
| | C55 | Parker et al., "Scheme for ranking potential HLA-A2 binding peptides based on independent binding of individual peptide side-chains," J. Immunol., 152:163, 1994. |
| ₩ /ZL/ | C56 | Plotkin, "Vaccination against cytomegalovirus, the changeling demon," <i>Pediatr Infect Dis J</i> , 18:313-325, 1999. |

25715150.1

EXAMINER: /Zachariah Lucas/ DATE CONSIDERED: 11/02/2007

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH

| Form PTO-1449 (modified) | | Atty. Docket No. Serial No. SONN:084US 10/564,429 | |
|--|--------------|---|----------------|
| List of Patents and Publications for Applicant's | | Applicant Michael Buschle et al. | |
| INFORMATION DISCLOSURE ST | ATEMENT | | |
| (Use several sheets if necessar | у) | Filing Date: April 24, 2006 | Group: 1648 |
| U.S. Patent Documents | Foreign 1 | Patent Documents | Other Art |
| See Page 1 | See Page 1 S | | See Page 3-10 |

| Exam. Init. | Ref. Des. | Citation | | |
|----------------|--------------|--|--|--|
| /ZL/ C57 | | Rammensee et al., "SYFPEITHI: database for MHC ligands and peptide motifs," Immunogenetics, 50:213-219, 1999. | | |
| | C58 | Reddehase, "The immunogenicity of human and murine cytomegaloviruses," Curr Opin Immunol, 12:390-396, 2000. | | |
| | C59 | Rehermann <i>et al.</i> , "Differential cytotoxic T-lymphocyte responsiveness to the hepatitis B and C viruses in chronically infected patients," <i>J. Virol.</i> , 70(10):7092-7102, 1996. | | |
| | C60 | Rollier et al., "Control of heterologous hepatitis C virus infection in chimpanzees is associated with the quality of vaccine-induced peripheral T-helper immune response," J. Virol., 78(1):187-196, 2004. | | |
| | C61 | Sarobe et al., "Enhanced in vitro potency and in vivo immunogenicity of a CTL epitope from hepatitis C virus core protein following amino acid replacement at secondary HLA-A2.1 binding positions," J. Clin. Invest., 102(6):1239-1248, 1998. | | |
| | C62 | Shastri et al., "Major histocompatibility class I molecules can present cryptic translation products to T-cells.," J. Biol. Chem. 270:1088-1091, 1995. | | |
| | C63 | Shirai et al., "An epitope in hepatitis C virus core region recognized by cytotoxic T cells in mice and humans," J. Virol., 68(5):3334-3342, 1994. | | |
| | C64 | Smith et al., "Peptide sequences binding to MHC class II proteins," Molecular Immunology, 31:1431-1437, 1994. | | |
| | C65 | Stern and Wiley, "Antigenic peptide binding by class I and class II histocompatibility proteins," Structure, 2:245-251, 1994 | | |
| | C66 | Stevens et al., "Efficient generation of major histocompatibility complex class I-peptide complexes using synthetic peptide libraries," Journal of Biological Chemistry, 273:2874-2884, 1998. | | |
| | C67 | Sturniolo et al., "Generation of tissue-specific and promiscuous HLA ligand databases using DNA microarrays and virtual HLA class II matrices," Nature Biotechnology, 17:555-562, 1999. | | |
| · /ZL/ | C68 | Tana et al., "An HLA-binding-motif-aided peptide epitope library: a novel library design for the screening of HLA-DR4-restricted antigenic peptides recognized by CD4+ T cells," J Hum Genet, 43:14-21, 1998. | | |

25715150.1

EXAMINER: /Zachariah Lucas/ DATE CONSIDERED: 11/02/2007

| Form PTO-1449 (modified) List of Patents and Publications for Applicant's | | Atty. Docket No. Serial No. SONN:084US 10/564,429 | |
|--|-----------|---|----------------|
| | | Applicant | |
| | | Michael Buschle et al. | |
| Information Disclosure Statement | | | |
| (Use several sheets if necessar | у) | Filing Date: April 24, 2006 | Group: 1648 |
| U.S. Patent Documents | Foreign P | atent Documents | Other Art |
| See Page 1 Sec | | e Page 1-3 | See Page 3-10 |

| Exam. Init. | Ref. Des. | Citation | | |
|--------------------------------------|--------------|--|--|--|
| /ZL/ | | Thursz et al., "Influence of MHC class II genotype on outcome of infection with hepatitis C virus. The HENCORE group. Hepatitis C European Network for Cooperative Research," Lancet, 354(9196):2119-24, 1999. | | |
| | C70 | Tobery et al., "A simple and efficient method for the monitoring of antigen-specific T cell responses using peptide pool arrays in a modified elispot assay," J Immunol Methods, 254:59-66, 2001. | | |
| | C71 | Tourdot et al., "A general strategy to enhance immunogenicity of low-affinity HLA-A2. 1-associated peptides: implication in the identification of cryptic tumor epitopes," Eur. J. Immunol. 30:3411-3421, 2000. | | |
| | C72 | Van den Eynde and van der Bruggen, "T cell defined tumor antigens," Curr Opin Immunol, 5:684-693, 1997. | | |
| | C73 | Varaklioti et al., "Alternative translation occurs within the core coding region of the hepatitis C viral menome," The Journal of Biological Chemistry, 227:17713-17721, 2002. | | |
| | C74 | Vernacchio <i>et al.</i> , "Effect of monophosphoryl lipid A (MPL) on T-helper cells when administered as an adjuvant with pneumocococcal-CRM197 conjugate vaccine in healthy toddlers," <i>Vaccine</i> , 20(31-32):3658-67, 2002. | | |
| | C75 | Villadangos and Ploegh, "Proteolysis in mhc class II antigen presentation: who's in charge," <i>Immunity</i> , 12:233-239, 2000. | | |
| | C76 | Waldrop et al., "Normal human cd4+ memory t cells display broad heterogeneity in their activation threshold for cytokine synthesis," J Immunol, 161:5284, 1998. | | |
| | C77 | Walewski <i>et al.</i> , "Evidence for a new hepatitis C virus antigen encoded in an overlapping reading frame," <i>RNA</i> , 7:710-721, 2001. | | |
| | C78 | Wang et al., "Sequence variation in the gene encoding the nonstructural 3 protein of hepatitis C virus: evidence for immune selection," J. Mol. Evol., 54:456-473, 2002. | | |
| | C79 | Ward et al., "Cellular immune responses against hepatitis C virus: the evidence base 2002," Clin Exp Immunol., 128(2):195-203, 2002. | | |
| $\Psi_{_{/\!	extsf{ZL}/\!	extsf{}}}$ | C80 | Weekes et al., "Human CD28-CD28+ T cells contain greatly expanded functional virus-specific memory CTL clones," J. Immunol, 162:7569-7577, 1999. | | |

25715150.1

| Examiner: | /Zachariah Lucas/ | DATE CONSIDERED: | 11/02/2007 |
|--|-------------------|------------------|------------|
| EVANDED, AUTUM TO DESCRIPTION CONCERNED AND THE STATE OF NOTICE AND ADDRESS OF A STATE OF THE ST | | | |

| Form PTO-1449 (modified) | | Atty. Docket No. SONN:084US | Serial No. 10/564,429 |
|--|-----------|----------------------------------|--------------------------|
| List of Patents and Publications for Applicant's | | Applicant Michael Buschle et al. | |
| Information Disclosure Statement | | Nichael Buseme C. u. | |
| (Use several sheets if necessar | ·y) | Filing Date: April 24, 2006 | Group: 1648 |
| U.S. Patent Documents | Foreign P | atent Documents | Other Art |
| See Page 1 | Sec | Page 1-3 | See Page 3-10 |

| Exam. Init. | Ref. Des. | Citation | | |
|------------------------|--------------|---|--|--|
| /ZL/ | C81 | Weekes et al., "The memory cytotoxic T-lymphocyte (CTL) response to human cytomegalovirus infection contains individual peptide-specific CTL clones that have undergone extensive expansion in vivo," J. Virol., 73(3):2099-2108, 1999. | | |
| | C82 | Weiner et al, "Persistent hepatitis C virus infection in a chimpanzee is associated with emergence of a cytotoxic T lymphocyte escape variant," Proc Natl Acad Sci USA., 92(7):2755-9, 1995. | | |
| | C83 | Wentworth et al., "Identification of A2-restricted hepatitis C virus-specific cytotoxic T lymphocyte epitopes from conserved regions of the viral genome," Int. Immunol., 8(5):651-659, 1996. | | |
| | C84 | Wilson et al., "Immunogenicity. I. Use of peptide libraries to identify epitopes that activate clonotypic CD4+ T cells and induce T cell responses to native peptide ligands," J. Immunol., 163:6424-6434, 1999. | | |
| | C85 | Wolfel et al., "Isolation of naturally processed peptides recognized by cytolytic t lymphocytes(ctl) on human emlanoma cells in association with hla-a2.1," Int. J. Cancer, 57:413-419, 1994. | | |
| | C86 | Wong et al., "Detection of diverse hepatitis C virus (HCV)-specific cytotoxic T lymphocytes in peripheral blood of infected persons by screening for responses to all tranlated proteins of HCV," <i>Journal of Virology</i> , 75(3):1229-1235, 2001. | | |
| | C87 | Wong et al., "Liver-derived CTL in hepatitis C virus infection: breadth and specificity of responses in a cohort of persons with chronic infection," J. Immunol., 160:1479-1488, 1998. | | |
| | C88 | Xu et al., "Synthesis of a novel hepatitis C virus protein by ribosomal frameshift," The EMBO Journal, 20:3840-3848, 2001. | | |
| $\mathbf{\Psi}_{/ZL/}$ | C89 | Zaia et al., "Cytomegalovirus prevention and treatment in 2000," Hematology, 339-355, 2000. | | |

25715150.1

EXAMINER: /Zachariah Lucas/ DATE CONSIDERED: 11/02/2007

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH